JAN 13 2004

THE UNITED STATES PATENT AND TRADEMARK OFFICE 1700

Applicant(s): OGURI et al.

Attorney Docket No.: 01-251

Serial No.: 10/058,353

Group Art Unit: 1765

Filed: January 30, 2002

Examiner: M. SONG

Title: SILICON CARBIDE SINGLE

CRYSTAL AND PROCESS FOR

PRODUCING THE SAME

Date: December 30, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT

Sir:

Introductory Comments

In response to the non-Final Office Action dated September 2, 2003 received in connection with the above-referenced application, please consider the appended amendments and remarks.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks begin on page 5 of this paper.

1765\$

TRANSMITTAL FORM See Ear Decorrespond FORM

No be Rectar a correspond

JAN 13 2004

TC 1700

	This Form Based on PTO/SB/21
Application Number	10/058,353
Filing Date	January 30, 2002
First Named Inventor	OGURI
Group Art Unit	1765
Examiner Name	M. SONG
Attorney Docket Number	01-251

ENCLOSURES (check all that apply)							
\boxtimes	Fee Transmit	tal Form	Assignment Papers (for an Application)				After Allowance Communication to Group
	X Fee At	tached		Drawir	ng(s)		Appeal Communication to Board of Appeals and Interferences
\boxtimes	Amendment /	Response		Licens	sing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
	After F	inal		Petition and Ad	n Routing Slip (PTO/SB/69) ccompanying Petition		Proprietary Information
	Affidav	rits/declaration(s)		Provisi	nvert a ional Application		Status Letter
Extension of Time Request			Power of Attorney, Revocation Change of Correspondence Address				Additional Enclosure(s) (please identify below):
	Express Abar Request	ndonment	Terminal Disclaimer				-
Information Disclosure Statement			Small Entity Statement				
	Certified Copy of Priority Document(s)			Request of Refund			
Response to Missing Parts/ Incomplete Application			Rem	arks			
Response to Missing Parts under 37 CFR 1.52 or 1.53							
		SIGNAT	TURE (OF APF	PLICANT, ATTORNEY, OR	AGEN	Т
Firm Or David G. Posz (Reg. No. 37,701)							
Individual name Posz & Bethards, PLC							
Signature							
Date	Date December 30, 2003						

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Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity sta	tus.	See 37	CFR 1.27
TOTAL AMOUNT OF PAYMENT	(\$)	110	_

	Complete if Known	
Application Number	10/058,353	
一門を下し	January 30, 2002	
First Named Inventor	OGURI	
Axaminer Name	M. SONG	
ATUN 700	1765	
Attomay Docket No.	01-251	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
X Check Credit card Money Other None	3. ADDITIONAL FEES					
□ B	Large Fee	Entity Fee	Small Fee	Entity Fee		Fee Paid
Deposit Deposit Deposit	Code	(\$)	Code	(\$)	Fee Description	reeraid
Account Number 50-1147	1051	130	2051	65	Surcharge – late filing fee or oath	
Deposit Account Name POSZ & BETHARDS, PLC	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	110
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
,	1452	110	2452	55	Petition to revive unavoidable	
SUBTOTAL (1) (\$) 0	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 8 -20**= 0 x 18 =	1503	640	2503	320	Plant issue fee	
Independent 2 - 3**= 0 X 86 = 0	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent			ı		or a cooligit application	
SUBTOTAL (2) (\$) 0	Other	fee (spe	cify)			
** or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Bas	ic Filing I	Fee Paid	SUBTOTAL (3) (\$) 110	

 SUBMITTED BY
 Complete (if applicable)

 Name (Print/Type)
 DAVID G. POSZ
 Registration No. (Attorney/Agent)
 37,701
 Telephone
 (703) 707-9110

 Signature
 Date
 December 30, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.